



# LOVELAND

Securities Litigation & Arbitration Support

The need for expert assistance in cases involving securities is often critical. Because although legal counsel understands the legal process, few are comfortable with the intricacies of securities regulations and law.

Keith Loveland is one of the few and, thus, is in demand as a source of case design and support and as an expert witness. Here is what he brings to the table in each of these areas.

### **Expert Witness.**

In his capacity as an Expert Witness, Mr. Loveland helps an arbitrator or jury understand the complex issues upon which a decision must be based in securities cases. He provides persuasive testimony on standard industry practices, pertinent securities regulations and the methods used to calculate and analyze damages. He also presents his own analysis of the numbers and legal precedents for a given case.

### **Case Support.**

When a firm needs behind-the-scenes support to build a securities case, Keith Loveland can:

- Assist counsel in development of court filings, interrogatories and document requests

- Analyze relevant financial information, including profit and loss, portfolio turnover, rate of return, mark-up and chronological account activity
- Review forecasts and historical performance
- Research and document relevant industry statistics
- Quantify damages
- Assist in development of trial/deposition questions
- Help prepare counsels' other witnesses for trial/deposition testimony
- Review, critique and rebut opposing experts' analyses
- Develop appropriate demonstrative exhibits

### **To learn more, contact:**

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Since 1979, Keith Loveland has been active in the financial services and securities industry as an attorney, author, teacher, expert witness, chairman of the investment committee for a mutual fund complex, chief operating officer of a securities broker-dealer, chief compliance officer for several broker-dealers and investment advisors and member of several boards of directors.

This eclectic background has helped him serve a diverse range of clients, from banks, broker-dealers, investment advisors and insurance companies, to closely held businesses and individual executives.

Mr. Loveland has a BA in Pre-Law & Philosophy from the University of Minnesota, Duluth, and a Juris Doctor from William Mitchell College of Law. He was admitted to law practice in Minnesota, and is also admitted to Federal District Court and Court of Appeals practice. As part of continuing education, he has studied at Harvard University Law School, receiving a Certificate in Securities Regulation, and recently graduated from the Katz Graduate School, University of Pittsburgh as a Certified Fiduciary Auditor.

He is listed in *Who's Who in Finance & Industry* and *Who's Who in American Law*.